

11064 U.S. PTO
12/03/01

UTILITY
PATENT APPLICATION
TRANSMITTAL

Only for new nonprovisional applications under
37 C.F.R. § 1.53(b)

12-18-01
Attorney Docket No.: AESN3006

First Inventor: Pham, Duc

Title: Scalable Network Media Access Controller
and Methods

Express Mail Label: EV 003 785 705 US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application
contents.

ADDRESS
TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. ☒ Fee Transmittal Form
2. ☒ Applicant claims Small Entity Status
3. ☒ Specification [Total Pages 53]
4. ☒ Drawings [Total Sheets 9]
5. ☒ Declaration [Total Pages 3]
- a. ☒ Newly Executed
- b. ☐ Copy from a prior application
- i. ☐ Deletion of Inventor(s)
6. ☐ Application Data Sheet
7. ☐ Microfiche Computer Program
(Appendix)
8. ☐ Nucleotide and/or Amino Acid Seq.

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers
10. ☐ 37 C.F.R. § 3.73(b) Statement
- ☐ Power of Attorney
11. ☐ English Translation Document
12. ☐ IDS and IDS Citation Copies
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard
15. ☐ Certified Copy of Priority Doc(s)
16. ☐ Nonpublication Request under 35
USC 122 (b)(2)(B)(i). Must attach
form PTO/SB/35
17. ☐ Other: _____

18. If a CONTINUING/PROVISIONAL APPLICATION, check appropriate box, and supply the
requisite information below and in a preliminary amendment:

☐ Continuation ☐ Divisional ☐ Continuation-in-Part (CIP) ☐ Provisional

Prior Application No: _____ Filed: _____ Examiner: _____ Group/ Art Unit: _____

Title:

The accompanying Applications Papers are a true copy of the prior complete
application identified above.

- 18a. ☐ Applicant(s) claim priority under 35 U.S.C. § 1.120 from the prior complete
application identified above.
- 18b. ☐ Applicant(s) claim priority under 35 U.S.C. § 1.119(e) from the provisional application
identified above.
- 18c. ☐

19. Correspondence Address

☒ Customer Number **23488**

Gerald B. Rosenberg
NewTechLaw

285 Hamilton Avenue, Suite 520
Palo Alto, California 94301

Telephone:

650.325.2100

Facsimile:

650.325.2107

Email:

gbr@newtechlaw.com



23488

PATENT TRADEMARK OFFICE

Name

Gerald B. Rosenberg

Reg. No:

30,320

Signature

Gerald B. Rosenberg

Date: Dec 3, 2001

11017 U.S. PTO
10/020554

12/03/01

FEE TRANSMITTAL for FY 2001

Application Number: N/A
Filing Date: December 3, 2001
First Inventor: Pham, Duc
Examiner Name:
Art Unit:

Total Amount of Payment \$ 511.00 Attorney Docket No.: AESN3006

METHOD OF PAYMENT

- ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:
Deposit Account Number: 50-0890
Deposit Account Name: Gerald B. Rosenberg
- ☐ Charge any additional fee required under 37 C.F.R. 1.16 and 1.17
- ☐ Applicant claims small entity status. See, 37 C.F.R. 1.27
- ☒ Payment Enclosed:
☒ Check ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Ent.	Small Ent.	Fee Description	FEE PAID
740.00	370.00	Utility filing fee	370.00
320.00	160.00	Design filing fee	
490.00	245.00	Plant filing fee	
710.00	355.00	Reissue filing fee	
160.00	80.00	Provisional filing fee	
SUBTOTAL (1)			370.00

2. EXTRA CLAIM FEES

	Extra Claims	Fee Paid
Total Claims <u>31</u> - 20* = <u>11</u>	x 18.00 =	198.00
Independent Claims <u>4</u> - 3* = <u>1</u>	x 84.00 =	84.00
First Multiple Dependent	+ 280.00 =	
<i>*or number previously paid, if greater</i>		
Small Entity?	YES	x 0.5
SUBTOTAL (2)		141.00


3. ADDITIONAL FEES

130.00	Surcharge - late filing fee or oath
50.00	Surcharge - late provisional filing fee or cover sheet
130.00	Non-English specification
2,520.00	For filing a request for reexamination
110.00	Extension for reply within first month
400.00	Extension for reply within second month
920.00	Extension for reply within third month
1440.00	Extension for reply within fourth month
1960.00	Extension for reply within fifth month
310.00	Notice of Appeal
310.00	Filing a brief in support of an appeal
270.00	Request for oral hearing
1,510.00	Petition to institute a public use proceeding
110.00	Petition to revive - unavoidable
1,240.00	Petition to revive - unintentional
1,280.00	Utility issue fee (or reissue)
130.00	Petitions to the Commissioner
50.00	Processing fee under 37 C.F.R. 1.17(q)
180.00	Submission of Information Disclosure Statement
40.00	Recording each patent assignment per property
710.00	Filing a submission after final rejection
710.00	For each additional invention to be examined
	Other

Small Entity? YES / NO x 0.5

SUBTOTAL (3)

CORRESPONDENCE ADDRESS

<input checked="" type="checkbox"/>	Customer Number <u>23488</u>	
Gerald B. Rosenberg NewTechLaw 285 Hamilton Avenue, Suite 520 Palo Alto, California 94301		
Telephone: 650.325.2100 Facsimile: 650.325.2107 Email: gbr@newtechlaw.com		23488 PATENT TRADEMARK OFFICE

Name: Gerald B. Rosenberg Registration No: 30,320 Telephone: 650.325.2100

Signature: Gerald B. Rosenberg Date: December 3, 2001